



Attorney Docket No. 178-322

In re Application of: Stanislaus Wong  
Serial No.: 10/701,402  
Confirmation No.: 6349  
Filed: November 3, 2003  
For: SIDEWALL FUNCTIONALIZED CARBON  
NANOTUBES, AND METHODS FOR  
MAKING SAME

hereby certify this correspondence is being deposited  
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Commissioner for Patents, P.O. Box 1450  
Alexandria, Virginia 22313-1450

on April 6, 2004

Signature: Nicole Ruspini

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.

☒ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	* 42	MINUS	** 42	= 0
INDEP.	* 11	MINUS	*** 11	= 0

SMALL ENTITY

RATE	ADDL. FEE
x 9=	\$
x 43=	\$
x 145=	\$
TOTAL	\$ 0.00

OR

OTHER THAN A  
SMALL ENTITY

RATE	ADDL. FEE
x 18=	\$
x 86=	\$
x 290=	\$
TOTAL	\$ 0.00

OR

☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS

\* If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.

\*\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" in this space is less than 20, write "20" in this space.

\*\*\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" in this space is less than 3, write "3" in this space. The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior amendment or the number of claims originally filed.

☐ Please charge my Deposit Account No. 08-2461 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.

☐ A check in the amount of \$\_\_\_\_\_ is attached.

☒ The Commissioner is hereby authorized to charge any fees or additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is attached.

☒ Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

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Susan A. Sipos  
Susan A. Sipos  
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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/701,402  
Applicant : Stanislaus Wong  
Filed : November 3, 2003  
Title : SIDEWALL  
FUNCTIONALIZED  
CARBON NANOTUBES,  
AND METHODS FOR  
MAKING SAME  
TC/A.U. : 2812  
Examiner : Unassigned  
Confirmation No.: 6349  
Docket No. : 178-322

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

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Signed: *[Signature]*

**PRELIMINARY AMENDMENT**

Sir:

Prior to examining the above-identified application on the merits, please amend the application in accordance with 37 C.F.R. §1.121 as follows:

**Amendments to the Specification:**

Amendments to the Specification are found on page 2.

**Amendments to the Claims:**

None.

**Remarks/Comments:**

Begin on page 3.